

RESUBMISSION OF STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Douglas F. Kelly, et al.Serial No./Patent No.: 10/776,302 Filed/Issue Date: February 11, 2004For: Method And System For Processing Electronic Financial TransactionsFirst Data Corporation

(Name of Assignee)

Corporation

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is __%

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel ____, Frame ____, for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Douglas F. Kelly, et al. To: First Data Corporation
The document was recorded in the United States Patent and Trademark Office at Reel 015395, Frame 0731, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel ____, Frame ____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel ____, Frame ____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplement sheet

☒ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned is authorized and empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature: /Malvern U. Griffin III/Printed Name: Malvern U. Griffin IIIDate: November 12, 2008



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

NOVEMBER 29, 2004

PTAS



102757417A

MARK J. SCHILDKRAUT, ESQ.
KAY SCHOLER, LLP
425 PARK AVENUE
NEW YORK, NY 10022-3598

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 05/25/2004

REEL/FRAME: 015395/0731
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: 23122-1203

ASSIGNOR:

KELLY, DOUGLAS F.

DOC DATE: 02/18/2004

ASSIGNOR:

MARTIN, WAYNE

DOC DATE: 02/04/2004

ASSIGNEE:

FIRST DATA CORPORATION
12500 EAST BEDFORD AVENUE
STE. M21A2
ENGLEWOOD, COLORADO 80112

SERIAL NUMBER: 10776302

FILING DATE: 02/11/2004

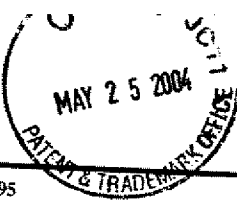
PATENT NUMBER:

ISSUE DATE:

TITLE: METHOD AND SYSTEM FOR PROCESSING ELECTRONIC FINANCIAL TRANSACTIONS

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LENELL MACKALL, SUPERVISOR
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



06-03-2004

Atty. Docket No.: 23122-1203

Form PTO-1595
(Rev. 10/02)
OMB No. 0651-0027 (exp. 6/30/2005)
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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Douglas F. Kelly
Wayne Martin

2. Name and address of receiving party(ies)

Name: FIRST DATA CORPORATION

Internal Address: _____

Street Address: 12500 East Bedford Avenue

Ste. M21A2

City: Englewood State: CO Zip: 80112

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: February 18, 2004; February 4, 2004

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 10/776,302

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Mark J. Schildkraut, Esq.

Internal Address: _____

Street Address: Kaye Scholer, LLP

425 Park Avenue

City: New York State: NY Zip: 10022-3598

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number:

50-0988

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mark J. Schildkraut, Reg. No. 43,092

Name of Person Signing

Signature

May 25, 2004

Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

30887428.pdf

10776302
40.00 DA
06/03/2004 LNUILLER 00000024 500988
01 FC:8021

ASSIGNMENT OF APPLICATION

Docket Number (Optional)

23122-1203

Whereas, I/We, Douglas F. Kelly of Jamesport, New York
Wayne Martin of Coral Springs, Florida
_____ of _____

hereafter referred to as "Applicant(s)," have invented certain new and useful improvements in METHOD AND SYSTEM FOR PROCESSING ELECTRONIC FINANCIAL TRANSACTIONS

☐ for which an application for a United States Patent was filed on _____ and having the Application Number _____ / _____, and

☒ for which an application for a United States Patent was executed on Herewith, and

Whereas, First Data Corporation of Colorado, hereafter referred to as "Assignee," whose mailing address is 12500 East Belford Ave., Ste. M21A2, Englewood, Colorado, is desirous of acquiring the entire right, title, and interest in the same;

Now, therefore, in consideration of the sum of ONE Dollar(s) (\$ 1.00), the receipt whereof is hereby acknowledged, and other good and valuable consideration, I/We, the Applicant(s), by these presents do sell, assign, and transfer unto said Assignee the full and exclusive right to the said invention in the United States and throughout the entire world and the entire right, title, and interest in and to any and all Patents which may be granted therefor. I/We hereby authorize and request the Commissioner of Patent and Trademarks to issue said United States Patent to said Assignee, of the entire right, title and interest in and to the same, for his sole use and behoof, and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 18 day of FEB, 2004 at 11:00 A.M.

State of Florida

County of Broward SS:

Douglas F. Kelly
Signature

Douglas F. Kelly

Printed Name/Registration No. (if applicable)

Before me personally appeared said Douglas Kelly and acknowledged the foregoing instrument to be his free act and deed this 18 day of FEB, 2004 at 11:00 Am



Seal

Jane M. Cucchiara
MY COMMISSION # DD142361 EXPIRES
November 1, 2006
BONDED THRU TROY FAIR INSURANCE, INC.

Jane M. Cucchiara
(Notary Public)

Executed this 4th day of February, 2004, at Coral Springs, Florida

State of Florida

County of Broward SS:

Wayne Martin
Signature

Wayne Martin

Printed Name/Registration No. (if applicable)

Before me personally appeared said Wayne Martin and acknowledged the foregoing instrument to be his free act and deed this 4th day of FEB, 2004 at 10 AM



Jane M. Cucchiara
MY COMMISSION # DD142361 EXPIRES
November 1, 2006
BONDED THRU TROY FAIR INSURANCE, INC.

Jane M. Cucchiara
(Notary Public)

Executed this _____ day of _____, 20____, at _____

State of _____)

SS:

County of _____)

Signature

Printed Name/Registration No. (if applicable)

Before me personally appeared said _____ and acknowledged the foregoing instrument to be his free act and deed this _____ day of _____, 20____, at _____

Seal

(Notary Public)